



04904

COVER

MAR 2 5 2002

SHEET

To:

Box Duplicate OIPE

Fax #:

703-746-4**96**0 (OIPE)

Subject:

REQUEST FOR SUBSTITUTE PAPERS for

Applied Materials Docket No.: 004990

USA /TCG/ W-C/LE

Entitled: CLEANING METHOD AND SOLUTION FOR CLEANING A WAFER

IN A SINGLE WAFER PROCESS

Serial No.: 09/891,730; Filing Date: 06/25/2001

Inventor(s): Steven VERHAVERBEKE\*, J Kelly TRUMAN

Confirmation Number Assignment: 1392

Date:

March 25, 2002

Pages:

8, including this cover sheet.

**COMMENTS:** 

RECEIVED

MAY 2 8 2002

TC 1700

From the desk of...
Jo Ann Cottrell
Patent Administrator
Applied Materials, Inc.
2881 Scott Blvd., M/S 2061
Santa Clara, CA 95050

(408) 235-6536 Fax: (408) 986-3090



03/25/02 13:40 P.002/008

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## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Potent and Trademark Office
Address (COMMISSI WER OF PATENTS AND TRADEMARKS
Washington D.C. (2023)
WAS uspla gov.

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/891,730	06/25/2001	Verhaverbeke, Steven	4990/TCG/TPG/OTHER/LE

Michael A. Bernadicou APPLIED MATERIALS, INC. Patent Counsel, Legal Affairs Department P.O. Box 450A Santa Clara CA 95052

PECTO MAR 2 1 2002

Title: Cleaning method and solution for cleaning a wafer in a single wafer

-- Pate Mailed:03/15/2002 --

## Request for Substitute Papers

MMP

The papers filed on 01/15/02 (certificate of mailing dated 10/19/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE)

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO <u>strongly</u> prefers that the reply to this letter be <u>submitted by facsimile</u>. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified	RECEIVE
Name: Robert Wo mulcally Registration No.: 25,436	MAY 2 8 2002
	TC 1700
	.,00

Assistant Commissioner of Patents and Trademarks Washington, D. C. 2023 | Box Missing Parts

Title CLEANING METHOD AND SOLUTION FOR CLEANING A WAFER IN A SINGL Inventor Steven VERHAVERBEKE etal 09/891,730 Afty Dkt No 004990 USA TCG/W-C

Return Receipt Post Card Mailed: October 19, 2001

PTO-1533

Declaration and Power of Attorney Response to Notice to File Missing Parts

ENCLOSED:

MAR 2 5 21

ANAT IP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Steven VERHAVERBEKE, et al

Assignee:

APPLIED MATERIALS, INC.

Title:

CLEANING METHOD AND SOLUTION FOR CLEANING A WAFER

IN A SINGLE WAFER PROCESS

Serial No.:

09/891,730

Confirmation Number Assignment; 1392

Filed:

25JE2001

Group Art Unit: 1765

COMBLIAB ENLESSE.

OCT 2 2 2001

Attorney Docket No.: 004990

Examiner: Unknown

Santa Clara, California... October 19, 2001

Box: Missing Parts

ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231
Attention: Manager

Applications Division

ORIGINALLY FILED

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RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted mailed by the United States Patent and Trademark Office on August 20, 2001, the following documents are enclosed and comments rendered to complete the filing of the above-identified patent application:

- 1. A combined declaration and power of attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (3 pages);
- 2. A copy of the Notice to File Missing Parts of Application Filing Date Granted
- 3. In response to the Notice of Informal Drawings, substitute drawings in compliance with 37 C.F.R. 1.84 were submitted separately to the Official Draftsperson on or about August 23, 2001.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account 50-1074;

- 1. Filing Fee Original Patent Application (37 CFR 1.16(a))
- 2. Fees for claims
- \_\_ each independent claim in excess of 3 (37 CFR 1.16(f))

each claim in exc	cess of 20 (37 CFR 1.16(c))	
each multiple der	pendent claim (37 CR 1.16(d))	
X Late Payment of	f filing fee or late filing of original declara	tion
or oath - (37 CFR 1.1		\$130.00
	Total Completion Fees	\$130.00
3. Applicant petitions	s for an extension of time, the fees for which	
1.17(a)-(d), for the tot	tal number of months checked below:	
	Fee for other than Small Entity	
One Month	\$ 110.00	
Two Months	\$ 400.00	
Three Months	\$ 920.00	
Four Months	\$1,440.00	
	•	

If an additional extension of time is required please consider this a petition therefor.

4. The Commissioner is hereby authorized to charge \$130.00 and any additional fees which may be required, or credit any overpayment, to Deposit Account No.50-1074.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above-named patent application and justify the filing date of 25JE2001. Please telephone the undersigned at (408) 563-2726, if there are any questions regarding this matter.

Respectfully submitted;

Robert W. Mulcahy Registration No. 25,436

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner of Patents and Trademarks,

Washington, D.C. 20231 on Commissioner of Patents and Trademarks,

09/891,730

## **EXPRESS MAIL CERTIFICATE OF MAILING**

Date of Deposit: I hereby certify that be deposited with th "Express Mail Post" the date indicated a has been addressed for Patents, Washin	ame of person mailing paper or fee)
(Signature of person	n mailing paper or fee)
Nune 25	201/
(Date signed)	
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•	
Social/Potent No.: Not yet assigned	Filing/Issue Date: Herewith
Serial/Patent No.: Not yet assume the Client: Applied Materials	Fining/issue Date
	UTION FOR CLEANING A WAFER IN A
SINGLE WAFER PROCESS	
BSTZ File No.: 04887P447	Atty/Secty Initials: MAB/te
Date Mailed: June 25, 2001	Docket Due Date:
	tent & Trademark Office on the date stamped hereon:  Express Mail No.EL672746819US Check No. 43753
Amendment/Response ( pgs.)	Month(s) Extension of Time  Amt: \$4:768.00
Appeal Brief ( pgs.) (in triplicate)  Application - Utility (47 pgs., with cover and abstract)	Information Disclosure Statement & PTO 1449 (_ pgs.) Check No
Application - Others ( pgs., with cover and absurd)  Application - Rule 1.53(b) Continuation ( pgs.)	Issue Fee Transmittal Amt:
Application - Rule 1.53(b) Divisional ( pgs.)	□ Notice of Appeal
Application - Rule 1.53(b) CIP ( pgs.)	Petition for Extension of Time
Application - Rule 1.53(d) CPA Transmittal ( pgs.)	Petition for
Application - Design ( pgs.)	Postcard
Application - PCT ( pgs.)	Power of Attorney ( <u>4</u> pgs.)
Application - Provisional ( pgs.)	Preliminary Amendment ( pgs.)
Assignment and Cover Sheet	Reply Brief ( pgs.)
Certificate of Mailing	Response to Notice of Missing Parts
Declaration & POA ( 4 pgs.)	Small Entity Declaration for Indep. Inventor/Small Business
Disclosure Doss & Org & Copy of Inventors Signed Letter (pgs)	Transmittal Letter, in duplicate
Drawings: 17 # of sheets includes 26 figures	Fee Transmittal, in duplicate
Express Mail Certifica	
AMAT No.: 4990/TCG/TPG/C	
	EL635346874NZ